

STW

PATENT

Attny Dkt No.: 12664-1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BALABON, Sam

Appl'n No.: 10/730,360

Filing Date: 09 December 2003

For: SYSTEM AND METHOD FOR TRADING
ABOVE OR BELOW THE MARKET

Group Art Unit: 3624

Examiner: ALPERT, James M.

MS Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RESPONSE TO OFFICE ACTION

Sir:

In response to the Office action mailed on September 15, 2004 ("action"), Applicant respectfully requests reconsideration of the application in light of the following amendments and remarks: